## Reinforced Concrete Design of Shallow Foundations

## Load Factors

According to ACI Code Section 9.2, depending on the type, the ultimate load-carrying capacity of a structural member should be one of the following:
$U=1.4 D$
$U=1.2 D+1.6 L$
where
$U=$ ultimate load-carrying capacity of a member
$D=$ dead loads
$L=$ live loads

## Strength Reduction Factor

The design strength provided by a structural member is equal to the nominal strength times a strength reduction factor, $\phi$ or
Design strength $=\phi$ (nominal strength)
Following are some of the recommended values of $f$ (ACI Code Section 9.3):

| Condition | Value of $\boldsymbol{\phi}$ |  |
| :--- | :--- | :--- |
| a. | Axial tension; flexure with or without axial tension | 0.9 |
| b. | Shear or torsion | 0.75 |
| c. Axial compression with spiral reinforcement | 0.75 |  |
| d. Axial compression without spiral reinforcement | 0.65 |  |
| e. | Bearing on concrete | 0.65 |
| f. | Flexure in plain concrete | 0.65 |

## Design Concepts for a Rectangular Section in Bending

Figure A.1a shows a section of a concrete beam having a width $b$ and a depth $h$. The assumed stress distribution across the section at ultimate load is shown in Figure A.1b.

The following notations have been used in this figure:
$f_{c}^{\prime}=$ compressive strength of concrete at 28 days
$A_{s}=$ area of steel tension reinforcement
$f_{y}=$ yield stress of reinforcement in tension
$d=$ effective depth
$l=$ location of the neutral axis measured from the top of the compression face $a=\beta l$
$\beta=0.85$ for $f_{c}^{\prime}$ of $28 \mathrm{MN} / \mathrm{m}^{2}$ of less and decreases at the rate of 0.05 for every $7 \mathrm{MN} / \mathrm{m}^{2}$ increase of $f_{c}^{\prime}$ However, it cannot be less than 0.65 in any case (ACI Code Section 10.2.7).

$$
0.85 f_{c}^{\prime} a b=A_{s} f_{y}
$$

or

$$
\begin{equation*}
a=\frac{A_{s} f_{y}}{0.85 f_{c}^{\prime} b} \tag{A.2}
\end{equation*}
$$



Figure A. 1 Rectangular section in heading


Figure 8-4 (a) Section for wide-beam shear; (b) section for diagonal-tension shear; (c) method of computing area A2 for allowable column bearing stress.

Also, for the beam section, the nominal ultimate moment can be given as

$$
\begin{equation*}
M_{n}=\operatorname{As} f_{y}\left(d-\frac{a}{2}\right) \tag{A.3}
\end{equation*}
$$

where $M_{n}=$ theoretical maximum resisting moment (Nominal moment).

The steel percentage is defined by the equation

$$
\rho=\frac{A_{s}}{b d}
$$

The nominal or theoretical shear strength of a section, $\mathrm{V}_{\mathrm{n}}$, can be given as

$$
\begin{equation*}
V_{n}=V_{c}+V_{s} \tag{A.9}
\end{equation*}
$$

where $V_{c}=$ nominal shear strength of concrete
$\mathrm{V}_{\mathrm{s}}=$ nominal shear strength of reinforcement
The permissible shear strength, $\mathrm{V}_{\mathrm{u}}$, can be given by

$$
\begin{equation*}
\mathrm{V}_{\mathrm{u}}=\varnothing \mathrm{V}_{\mathrm{n}}=\varnothing\left(\mathrm{V}_{\mathrm{c}}+\mathrm{V}_{\mathrm{s}}\right) \tag{A.10}
\end{equation*}
$$

The values of $\mathrm{V}_{\mathrm{c}}$ can be given by the following equations (ACI Code Sections 11.2 and 11.11).
$\mathrm{V}_{\mathrm{c}}=0.17 \sqrt{f_{c}^{\prime}}$ bd $\quad$ (for member subjected to shear and flexure) (A.11a)
And

$$
\begin{equation*}
\mathrm{V}_{\mathrm{c}}=0.33 \sqrt{f_{c}^{\prime}} \text { bd } \quad \text { (for member subjected to diagonal tension (punching shear)) } \tag{A.11b}
\end{equation*}
$$

where $f_{c}^{\prime}$ is in $\mathrm{MN} / \mathrm{m}^{2}(\mathrm{MPa}), \mathrm{V}_{\mathrm{c}}$ is in $\mathrm{MN}, \mathrm{b}$ and d are in m , and $\lambda=1$ for normal weight concrete.
Dowels are required if the column load exceeds the following:

$$
0.85 \phi f_{c}^{\prime} A_{1} \sqrt{A_{2} / A_{1}}
$$

The ratio $A_{2} / A_{1}<2$ and the $\phi$ factor is 0.65 . The area $A_{1}$ is the column contact area $(b \times c)$; the area $A_{2}$ is the base of the frustum that can be placed entirely in the footing as shown in Fig. 8-4c

## Design Example of a Square Foundation for a Column

Figure A.3a shows a square column foundation with the following conditions:
Live load $=L=675 \mathrm{kN}$
Dead load $=D=1125 \mathrm{kN}$
Allowable gross soil-bearing capacity $=q_{\text {all }}=145 \mathrm{kN} / \mathrm{m}^{2}$
Column size $=0.5 \mathrm{mx} 0.5 \mathrm{~m}$
$f_{c}^{\prime}=20.68 \mathrm{MN} / \mathrm{m}^{2}$
$\mathrm{f}_{\mathrm{y}}=413.7 \mathrm{MN} / \mathrm{m}$
Let it be required to design the column foundation.


(d)

## General Considerations

Let the average unit weight of concrete and soil above the base of the foundation be $21.97 \mathrm{kN} / \mathrm{m}^{3}$. So, the net allowable soil-bearing capacity

$$
q_{\text {all(net) }}=145-\left(D_{f}\right)(21.97)=145-(1.25)(21.97)=117.54 \mathrm{kN} / \mathrm{m}^{2}
$$

Hence, the required foundation area is

$$
A=B^{2}=\frac{D+L}{q_{\text {all(net) }}}=\frac{675+1125}{117.54}=15.31 \mathrm{~m}^{2}
$$

$$
B=\sqrt{A}=\sqrt{15.31}=3.91 \mathrm{~m}
$$

Use a foundation with dimensions $(B)$ of 4 mx 4 m .
The factored load for the foundation is

$$
U=1.2 D+1.6 L=(1.2)(1125)+(1.6)(675)=2430 \mathrm{kN}
$$

Hence, the factored soil pressure is

$$
q_{s}=\frac{U}{B^{2}}=\frac{2430}{16}=151.88 \mathrm{kN} / \mathrm{m}^{2}
$$

Assume the thickness of the foundation to be equal to 0.75 m . With a clear cover of 76 m over the steel bars and an assumed bar diameter of 25 mm , we have

$$
d=0.75-0.076-\frac{0.025}{2}=0.6615 \mathrm{~m}
$$

## Check for Shear

$V_{u}$ should be equal to or less than $\phi V c$

$$
V_{u} \leq \phi(0.17) \sqrt{f_{c}^{\prime}} b d
$$

The critical section for one-way shear is located at a distance $d$ from the edge of the column as shown in Figure A.3b. So

$$
V_{u}=q_{s} \mathrm{x} \text { critical area }=(151.88)(4)(1.75-0.6615)=661.3 \mathrm{kN}
$$

Also

$$
\phi V_{c}=(0.75)(0.17) \sqrt{20.68}(4)(0.6615)(1000)=1534.2 \mathrm{kN}
$$

So,

$$
V_{u}=661.3 \mathrm{kN}<\phi V c=1534.2 \mathrm{kN} \quad \text {-O.K. }
$$

For two-way shear, the critical section is located at a distance of $d / 2$ from the edge of the column. This is shown in Figure A.3b. For this case,

$$
\phi V_{c}=\phi(0.33) \sqrt{f_{c}^{\prime}} b_{o} d
$$

The term $b_{o}$ is the perimeter of the critical section for two-way shear. Or for this design,

$$
b_{o}=4[0.5+2(d / 2)]=4[0.5+2(0.3308)]=4.65 \mathrm{~m}
$$

Hence,

$$
\phi V c=(0.75)(0.33) \sqrt{20.68}(4.65)(0.6615)=3.462 \mathrm{MN}=3462 \mathrm{kN}
$$

Also,

$$
V_{u}=\left(q_{s}\right)(\text { critical area })
$$

Critical area $=(4 \times 4)-(0.5+0.6615)^{2}=14.65 \mathrm{~m}^{2}$ So,

$$
\begin{aligned}
& V_{u}=(151.88)(14.65)=2225.18 \mathrm{kN} \\
& V_{u}=2225.18 \mathrm{kN}<\phi V c=3462 \mathrm{kN} \quad-\mathrm{O} . \mathrm{K} .
\end{aligned}
$$

The assumed depth of foundation is more than adequate.

## Flexural Reinforcement

According to Figure A.3c, the moment at critical section (ACI Code Section 15.4.2) is

$$
\begin{aligned}
& M_{u}=\left(q_{s} B\right)\left(\frac{1.75}{2}\right)^{2}=\frac{[(151.88)(4)](1.75)^{2}}{2}=930.27 \mathrm{kN}-\mathrm{m} \\
& \phi M_{n} \geq M_{u} \\
& M_{n}=\frac{M_{u}}{\emptyset} \\
& M_{n}=930.27 / 0.9=1033.6 \mathrm{kN} . \mathrm{m}=1033 \times 10^{6} \mathrm{~N} . \mathrm{mm} \\
& \operatorname{Try} \alpha=0.2 \mathrm{~d}=0.2 \times 660=132 \mathrm{~mm} \\
& M_{n}=\operatorname{As} f_{y}\left(d-\frac{a}{2}\right) \\
& 1033 \times 10^{6}=\mathrm{A}_{\mathrm{s}} \times 413.7 \times(660-132 / 2) \\
& \mathrm{A}_{\mathrm{s}}=4203 \mathrm{~mm}^{2}
\end{aligned}
$$

$$
\rho=\frac{A_{S}}{b d} \quad=\frac{4203}{4000 \times 660}=0.00152
$$

$\rho_{\min }$ for footing $=0.0018>0.00152$
$\therefore$ use $\rho_{\text {min }}$
Note: Use total footing thickness (h) when using $\rho_{\text {min }}$

$$
\therefore \quad \mathrm{A}_{\mathrm{s}}=\rho_{\min } x b \times h=0.0018 \times 4000 \times 750=5400 \mathrm{~mm}^{2}
$$

Provide 11 X 25-mm diameter bars each way $\left[A s=(491)(11)=5401 \mathrm{~mm}^{2}\right]$.

## Check for Development Length ( $L_{d}$ )

From ACI Code Section 12.2.2, for 25 mm diameter bars, $L_{d} \approx 50 \phi \approx 1250 \mathrm{~mm}$. Actual $L_{d}$ provided is $(4-0.5 / 2)-0.076$ (cover) $=1.674 \mathrm{~m}>1.25 \mathrm{~m}-$ O.K.

## Check for Bearing Strength

ACI Code Section 10.14 indicates that the bearing strength should be at least $0.85 \phi f_{c}^{\prime} A_{1} \sqrt{A_{2} / A_{1}}$ with a limit of $\sqrt{A_{2} / A_{1}} \leq 2$
for this problem,
$A_{2}=(b+4 d)(c+4 d)=(0.5+4 \times 0.66)(0.5+4 \times 0.66)=9.86$
$A_{l}=(b x c)=0.5 \times 0.5=0.25 \mathrm{~m}^{2}$
$\sqrt{A_{2} / A_{1}}=\sqrt{9.86 / 0.25}=6.28>2$
Take $\sqrt{A_{2} / A_{1}}=2$
Bearing strength $=0.85 \phi f_{c}^{\prime} A_{1} \sqrt{A_{2} / A_{1}}=(0.85)(0.65)(20.68)(0.5)^{2}(2)=5.71 \mathrm{MN}=5712 \mathrm{kN}$
The factored column load $\mathrm{U}=2430 \mathrm{kN}<5712 \mathrm{kN}$ - O.K.

## Design Example of a Rectangular Foundation for a Column

This section describes the design of a rectangular foundation to support a column having dimensions of $0.4 \mathrm{~m} \times 0.4 \mathrm{~m}$ in cross section. Other details are as follows:

Dead load $=D=290 \mathrm{kN}$
Live load $=L=110 \mathrm{kN}$
Depth from the ground surface to the top of the foundation $=1.2 \mathrm{~m}$
Allowable gross soil-bearing capacity $=120 \mathrm{kN} / \mathrm{m}^{2}$
Maximum width of foundation $=B=1.5 \mathrm{~m}$
$f_{y}=413.7 \mathrm{MN} / \mathrm{m}^{2}$
$f_{c}^{\prime}=20.68 \mathrm{MN} / \mathrm{m}^{2}$
Unit weight of soil $=\gamma=17.27 \mathrm{kN} / \mathrm{m}^{3}$
Unit weight of concrete $=\gamma_{\mathrm{c}}=22.97 \mathrm{kN} / \mathrm{m}^{3}$

## General Considerations

For this design, let us assume a foundation thickness of 0.45 m (Figure A.4a). The weight of foundation $/ \mathrm{m}^{2}=0.45 \gamma_{\mathrm{c}}=(0.45)(22.97)=10.34 \mathrm{kN} / \mathrm{m}^{2}$,
and the weight of soil above the foundation $/ \mathrm{m}^{2}=(1.2) \gamma=(1.2)(17.27)=20.72 \mathrm{kN} / \mathrm{m}^{2}$.
Hence, the net allowable soil bearing capacity $\left[q_{\text {net(all) }}\right]=120-10.34-20.72=88.94 \mathrm{kN} / \mathrm{m}^{2}$.
The required area of the foundation $\mathrm{A}=(D+L) / q_{\text {net (all) }}=(290+110) / 88.94=4.5 \mathrm{~m}^{2}$.
Hence, the length of the foundation is $\mathrm{A} / \mathrm{B}=4.5 \mathrm{~m}^{2} / \mathrm{B}=4.5 / 1.5=3 \mathrm{~m}$.
The factored column load $=1.2 D+1.6 L=1.2(290)+1.6(110)=524 \mathrm{kN}$.
The factored soil-bearing capacity, $q_{s}=$ factored load/foundation area $=524 / 4.5=116.44 \mathrm{kN} / \mathrm{m}^{2}$.

## Shear Strength of Foundation

Assume that the steel bars to be used have a diameter of 16 mm . So, the effective depth

$$
d=450-76-16 / 2=366 \mathrm{~mm} . \quad \text { (Note that the assumed clear cover is } 76 \mathrm{~mm} \text {.) }
$$

Figure A.4a shows the critical section for one-way shear. According to this figure

$$
V_{u}=\left(1.5-\frac{0.4}{2}-0.366\right) B q_{s}=(0.934)(1.5)(116.44)=163.13 \mathrm{kN}
$$

The nominal shear capacity of concrete for one-way beam action

$$
V_{c}=0.17 \sqrt{f_{c}^{\prime}} B d=0.17 \sqrt{20.68}(1.5)(0.366)=0.4244 \mathrm{MN}=424.4 \mathrm{kN}
$$

Now

$$
V_{u}=163.13<\phi V_{c}=(0.75)(424.4)=318.3 \mathrm{kN} \quad-\mathrm{O} . \mathrm{K} .
$$

The critical section for two-way shear is also shown in Figure A.4a. This is based on the recommendations given by ACI Code. For this section
$V_{u}=q_{s}\left[(1.5)(3)-0.766^{2}\right]=455.66 \mathrm{kN}$
The nominal shear capacity of the foundation can be given as
$V_{c}=0.33 \sqrt{f_{c}^{\prime}} b_{o} d$
where $b_{o}=$ perimeter of the critical section
or
$V_{c}=(0.33) \sqrt{20.68}(4 \mathrm{x} 0.766)(0.366)=1.683 \mathrm{MN}$
So, for two-way shear condition
$V_{u}=455.66 \mathrm{kN}<\phi V c=(0.75)(1683)=1262.25 \mathrm{kN}$
Therefore, the section is adequate.


Figure A. 4 Rectangular foundation for a column

According to Figure A.4a, the design moment about the column face is

$$
\begin{aligned}
& M_{u}=\frac{\left(q_{s} B\right) 1.3^{2}}{2}=\frac{(116.44)(1.5)(1.3)^{2}}{2}=147.59 \mathrm{kN}-\mathrm{m}=147.59 \times 10^{6} \mathrm{~N} . \mathrm{mm} \\
& M_{u}=\phi M_{n}=\phi A_{s} f_{y}\left(d-\frac{a}{2}\right)
\end{aligned}
$$

Try $\alpha=0.2 d=0.2 \times 0.366=0.073 \mathrm{~m}=73 \mathrm{~mm}$
$147.59 \times 10^{6}=0.9 \times \mathrm{A}_{\mathrm{s}} \times 413.7 \times(366-73 / 2)$
$\mathrm{A}_{\mathrm{s}}=1203 \mathrm{~mm}^{2}$

$$
\rho=\mathrm{A}_{\mathrm{s}} /(\mathrm{B} \mathrm{~d})=1203 /(1500 \times 366)=0.0219>\rho_{\min } \quad \text { O.K }
$$

use 6 No. 16 mm bars.

## Flexural Reinforcement in the Short Direction

According to Figure A.4a, the moment at the face of the column is

$$
M_{u}=\frac{\left(q_{s} L\right)(0.55)^{2}}{2}=\frac{(116.44)(3)(0.55)^{2}}{2}=52.83 \mathrm{kN}-\mathrm{m}=52.83 \times 10^{6} \mathrm{~N} . \mathrm{mm}
$$

d in short direction $=450-76-16-16 / 2=350 \mathrm{~mm}$

$$
M_{u}=\phi M_{n}=\phi A_{s} f_{y}\left(d-\frac{a}{2}\right)
$$

Try $\alpha=0.2 d=0.2 \times 0.35=0.07 \mathrm{~m}=70 \mathrm{~mm}$
$52.83 \times 10^{6}=0.9 \times A_{s} \times 413.7 \times(350-70 / 2)$

$$
\begin{aligned}
& A_{s}=450 \mathrm{~mm}^{2} \\
& \rho=\mathrm{A}_{\mathrm{s}} /(\mathrm{B} \mathrm{~d})=450 /(3000 \times 350)=0.0004<\rho_{\min } \\
& \text { use } \rho_{\min }
\end{aligned}
$$

Important note: Use gross area when using $\rho_{\text {min }}$
$A_{s}=0.0018 \times 3000 \times 450=2430 \mathrm{~mm}^{2}$
Use 12 No. 16 mm bars

